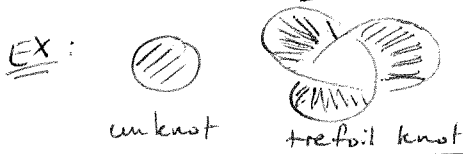


Seifert Surfaces

● Given a knot/link is there a surface embedded in \mathbb{R}^3 w/ this as boundary?

$\partial S = K$



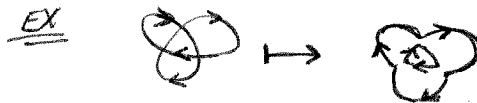
↪ Note: This is not orientable...

Def: A Seifert surface for a knot K is an orientable surface S w/ $\partial S = K$

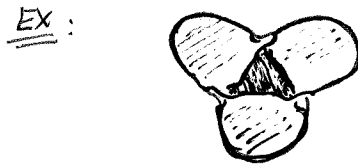
Remark: Not unique.

● Seifert's algorithm

- ① Choose an orientation for knot
- ② Follow along knot switching to follow orientation at crossings



- ③ Fill each Seifert circle w/ disk (nested disks go over/under)
- ③ Insert twisted band at crossings



Recall: Genus g surface w/ boundary $\sim S^1$ has ~~genus~~ $\chi = 1 - 2g$

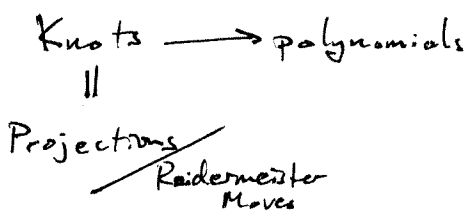
Def: Genus of a knot is minimal genus of Seifert surface

Remark: Unfortunately, sometimes no projection/diagram will have Seifert's algorithm giving surface of minimal genus.

Note: For a given diagram, $\chi = \# \text{circles} - \# \text{crossings}$

2) Thm: $g(J \# K) = g(J) + g(K)$

• Invariants from "nowhere"



- * Alexander Polynomial
 - Jones Polynomial
 - HOMFLY Polynomial
- } Projections \rightarrow polynom \parallel invariant under Reidemeister Moves.

Jones Polynomial (1984)

- Kaufmann bracket: $\langle K \rangle$ \nleftarrow Not invariant under Reidemeister Moves

- Rule 1: $\langle \bigcirc \rangle = 1$
 (Note: $\langle \bigcirc \rangle \neq 1$)
- Rule 2: $\langle \text{X} \rangle = A \langle \text{) (} \rangle + B \langle \text{) } \rangle$
 $\langle \text{X} \rangle = A \langle \text{) } \rangle + B \langle \text{) (} \rangle$
- Rule 3: $\langle \text{link} \cup \bigcirc \rangle = C \langle \text{link} \rangle$

- Making $\langle \rangle$ invariant under Reidemeister 2 & 3 moves places requirements on A, B, C:

$$\begin{aligned} \langle \text{X} \rangle &= A \langle \text{) } \rangle + B \langle \text{) (} \rangle \\ &= A^2 \langle \text{) } \rangle + AB \langle \text{) } \rangle + AB \langle \text{) (} \rangle + B^2 \langle \text{) } \rangle \\ &= AB \langle \text{) (} \rangle + (A^2 + ABC + B^2) \langle \text{) } \rangle \end{aligned}$$

Reidemeister Move #2 = $\langle \text{) (} \rangle$

$\Rightarrow AB = 1 \quad B = A^{-1}$

③ $S, \langle \rangle$ invariant under Reidemeister 2 \Rightarrow

$$B = A^{-1} \text{ and } C = -(A^2 + A^{-2})$$

Reidemeister #3:

$$\langle \text{X} \rangle = A \langle \text{U} \rangle + A^{-1} \langle \text{V} \rangle$$

\downarrow Reid #2

$$= A \langle \text{U} \rangle + A^{-1} \langle \text{V} \rangle$$

by symmetry

$$= \langle \text{X} \rangle$$

\square OK.

Reidemeister #1:

$$\langle \rho \rangle = A \langle \circ \rangle + B \langle \rho \rangle$$

$$= (A + B) \langle \rho \rangle$$

$$A(-A^2 - A^{-2}) + A^{-1} = -A^3 - A^{-1} + A^{-1} = -A^3$$

$$\langle \rho \rangle = \dots = (-A^3) \langle \rho \rangle$$

Not invariant...

Idea: Find another almost-invariant w/ same defect. Add them.

• Writhe: Orient a link.

$$\left. \begin{array}{l} \cdot \begin{array}{c} \nearrow \\ \searrow \end{array} +1 \\ \cdot \begin{array}{c} \nearrow \\ \nearrow \end{array} -1 \end{array} \right\} \text{add these over all crossings.}$$

$$\underline{\underline{R1}}: w(\rho) = +1 \quad w(\circ) = 0 \\ w(\rho) = -1$$

$$\underline{\underline{R2}}: w(\rho) = 0 \quad w(\rho) = 0$$

$$\underline{\underline{R3}}: w(\text{X}) = 1 \quad w(\text{X}) = 1$$

ρ \leftarrow $\underline{\underline{R3}}$ doesn't change any local crossings.

Def: The Jones polynomial for a knot/link is

$$X(L) = (-A^3)^{-w(L)} \langle L \rangle$$

Lemma: If L and L' are mirror images then $X(L)(A) = X(L')(A^{-1})$

EX: Kaufmann Bracket of trefoil

$$\langle \text{trefoil} \rangle = A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle$$

$$\neq A^2 \langle \text{trefoil} \rangle + \text{Reidemeister \#1}$$

$$= A \cdot (-A^3 \langle \text{trefoil} \rangle) + A^{-1} (A \langle \text{trefoil} \rangle + A^{-1} \langle \text{trefoil} \rangle)$$

$$= A \cdot (-A^3)(-A^3) + A^{-1} \cdot A \cdot (-A^3) + A^{-1} \cdot A^{-1} \cdot (-A^3)$$

$$= A^7 - A^3 - A^{-5}$$

⑤ Alexander Polynomial

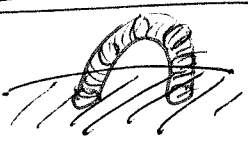
|| "Knot Theory and the Alexander Polynomial" } good notes
 Bachelor thesis of R.T. McNeill
 (Smith College)

→ Approach #1: Seifert Surfaces

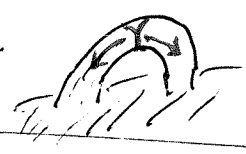
Recall: A Seifert surface for a knot K is } not unique
 orientable surface S w/ $\partial S = K$

Idea: Given Seifert surfaces S_1, S_2 for K
 ~~\exists standard operations to move from one to another.~~

Basic Moves: • Tubing:



• Compressing:

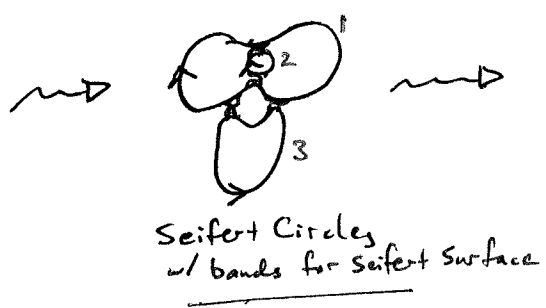
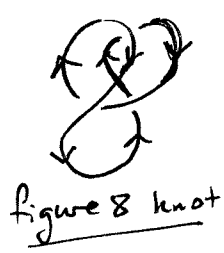


Fact: Any two Seifert surfaces for the same knot are connected by a series of "tubing" & "compressing" operations

→ Invariants under "tubing" & "compressing":

|| Not $H_1(S)$, but maybe we can get something from this, still.

Seifert Graph \cong Seifert Matrix



Seifert graph

- vertex for each Seifert circle
- edge for each band connecting.

We can read off $H_1(S)$ from Seifert graph } Basis is edges of graph which aren't in a spanning tree.

Seifert matrix is matrix of size $h_1 \times h_1$ where $h_1 = \dim(H_1)$

consider loops generating H_1 coming from Seifert graph

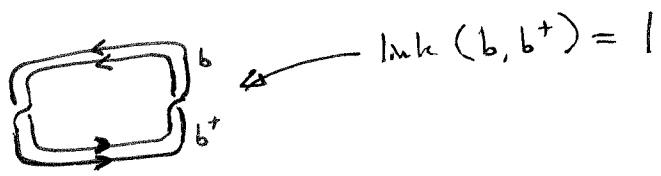
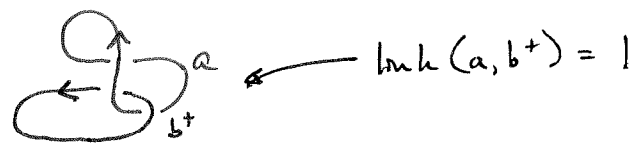
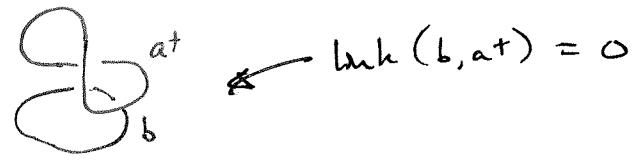
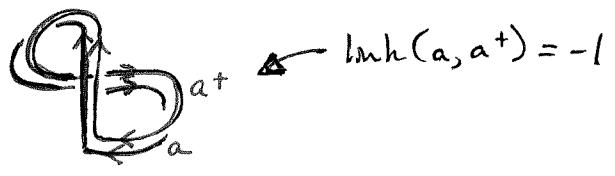
⑥ Thicken seifert surface by ϵ

$$S \rightsquigarrow S \times [0, \epsilon]$$

loops on surface \rightsquigarrow loops on $S \times \{0\}$ a, b
and
parallel transports on $S \times \{\epsilon\}$ a^+, b^+

Seifert matrix: $(S_{ij}) = \text{link}(l_i, l_j^+)$ (w/ sign)

Note: Not symmetric.



Seifert Matrix $(S_{ij}) = \begin{bmatrix} -1 & 1 \\ 0 & 1 \end{bmatrix}$

Invariants from M:

~~① $|\det M|$~~
~~② Signature~~

① Signature: $\sigma(M + M^T)$

② Determinant: $|\det(M + M^T)|$

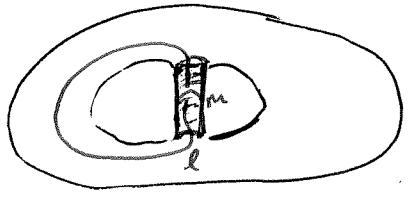
③ Alexander Polynomial:

$$\Delta(K) = \det(t^{1/2}M - t^{-1/2}M^T)$$

⑦ Claim: ①, ②, ③ are invariant under "tubing" & "compression"

Tubing (w/ good choice of basis)

$$M \longmapsto \begin{bmatrix} M & * & 0 \\ & * & 0 \\ \hline 0 & \dots & 0 & 1 \\ 0 & \dots & 0 & 0 \end{bmatrix}$$



adding tube adds two new homology generators m, m^+

$$\begin{aligned} \text{lnk}(m, m^+) &= 0 \\ \text{lnk}(m, l^+) &= 0 \\ \text{lnk}(l, m^+) &= 1 \\ \text{lnk}(a_i, m^+) &= 0 = \text{lnk}(m, a_i^+) \end{aligned}$$

→ If $\text{lnk}(l, l^+) = 1$, replace l by $l - 1m$:

- does not change linking #s above.
 - now $\text{lnk}(l - 1m, (l - 1m)^+) = \text{lnk}(l, l^+) - 1$
- $$\left. \begin{aligned} &\rightarrow 1 \text{lnk}(m, l^+) \leftarrow 0 \\ &\rightarrow 1 \text{lnk}(l, m^+) \leftarrow -1 \\ &\rightarrow 1 \text{lnk}(m, m^+) \leftarrow 0 \end{aligned} \right\} = 0$$

→ If $\text{lnk}(a_i, l^+) = 1$, replace a_i by $a_i - 1m$

- does not change $\text{lnk}(a_i, a_i^+)$
- now $\text{lnk}(l, a_i^+) = 0$
- $\text{lnk}(a_i^+, l) = 0$ like above.

Compression (w/ good choice of basis) is reverse

$$\begin{bmatrix} M & * & 0 \\ & * & 0 \\ \hline 0 & \dots & 0 & 1 \\ 0 & \dots & 0 & 0 \end{bmatrix} \longrightarrow M$$

→ ① & ② invariant is obvious.

③

$$\det \begin{bmatrix} t^{1/2} M - t^{-1/2} M T & | & t^{1/2} * & 0 \\ & & t^{1/2} * & 0 \\ \hline -t^{-1/2} * & \dots & -t^{-1/2} * & 0 & t^{1/2} \\ 0 & \dots & 0 & -t^{-1/2} & 0 \end{bmatrix} \xrightarrow{\text{type 3 row/col op}} \det \begin{bmatrix} t^{1/2} M - t^{-1/2} M T & | & 0 & 0 \\ & & 0 & 0 \\ \hline 0 & \dots & 0 & 1 & 0 & 0 \\ 0 & \dots & 0 & 1 & t^{-1/2} & 0 \end{bmatrix}$$

EX: Alexander Polynomial for figure 8 knot:

(8)

$$\det \begin{pmatrix} -t^{1/2} + t^{-1/2} & t^{1/2} \\ -t^{-1/2} & t^{1/2} - t^{-1/2} \end{pmatrix} = -t + 2 + t^{-1} + 1 = \boxed{-t + 3}$$

EX: Alexander Polynomial for trefoil knot:

$$\boxed{t - 1 + t^{-1}}$$

← Also mirror of trefoil.

Lemma: (1) Alexander polynomial is invariant under $t \mapsto t^{-1}$
(2) Alexander polynomial of mirror image knot is $t \mapsto t^{-1}$

Cor: Alexander polynomial cannot tell knot from mirror image.

→ Two more classical methods compute Alexander polynomial.

Method 3: Fundamental group: $\pi_1(\mathbb{R}^3 \setminus K)$ is knot invariant. (18)

→ Problem: This reduces to word problem (undecidable)

Dehn presentation: Label the faces of the knot projection

faces → generators
crossings → relations

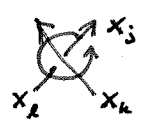


$x_i x_j^{-1} x_k x_l^{-1}$ ← Γ_5 crossing

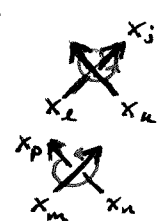
$\pi_1(\mathbb{R}^3 \setminus K) \cong \langle x_1, x_2, \dots \mid \Gamma_1, \Gamma_2, \dots \hat{=} x_i \rangle$

any one of x_1, \dots, x_p (face w/ basept)

Wirtinger presentation: Label the arcs of the knot projection



arcs → generators
crossings → relations



projection out = inverse

$x_j^{-1} x_k x_l x_m$ ← Γ_5
 $x_p^{-1} x_m x_n x_m^{-1}$ ← Γ_6

$\pi_1(\mathbb{R}^3 \setminus K) \cong \langle x_1, x_2, \dots \mid \Gamma_1, \Gamma_2, \dots, \hat{\Gamma}_i, \dots, \Gamma_n \rangle$

(can throw out one at random.)

→ Previously: $\pi_1(\mathbb{R}^3 \setminus K) \begin{cases} \rightarrow \text{Dehn presentation} \\ \rightarrow \text{Wirtinger presentation} \end{cases} \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$

Comparing group presentations:

Tietze Moves

$\langle X \mid R \rangle \xrightarrow{\text{I}} \langle X \mid R \cup \{s\} \rangle$ $s \in \langle\langle R \rangle\rangle$
 ↖ Normal closure

$\langle X \mid R \rangle \xrightarrow{\text{II}} \langle X \cup \{y\} \mid R \cup \{y w^{-1}\} \rangle$
 ↖ $w \in \langle X \rangle$

Thm: If $\langle X \mid R \rangle \cong \langle Y \mid S \rangle$
 then \exists finite seq. of Tietze moves between them.

Recall: G_{ab} = abelianization of G

$\mathbb{Z}G$ = free \mathbb{Z} -module on G (group ring)

Fox Calculus

Def's $D: \mathbb{Z}G \rightarrow \mathbb{Z}G$ by $w \mapsto$
 (i) $D(w_1 + w_2) = D(w_1) + D(w_2)$ $E: \mathbb{Z}G \rightarrow \mathbb{Z}$ augmentation
 (ii) $D(w_1 w_2) = D(w_1) E(w_2) + w_1 D(w_2)$

Note: $D(g_1 g_2) = D(g_1) \cdot \overset{E(g_2)}{D(g_2)}$
 $D(e) = D(e \cdot e) = D(e) + e D(e)$
 $\Rightarrow D(e) = 0$

Consider $F(x_1, \dots, x_n) = F(n) = F$ ← free group

w/ maps $\frac{\partial}{\partial x_i}: \mathbb{Z}F \rightarrow \mathbb{Z}F$
 by $x_i \mapsto \delta_{ij}$

→ Elementary ideals:

$G \hat{=} F / \langle\langle R \rangle\rangle = \langle x_1, \dots, x_n \mid R \rangle$

$\mathbb{Z}F \xrightarrow{\frac{\partial}{\partial x_i}} \mathbb{Z}F \xrightarrow{\gamma} \mathbb{Z}G \xrightarrow{\alpha} \mathbb{Z}G_{ab}$
↓ quotient ↓ abelianization $\langle X \mid R \cup \{[x_i, x_j]\} \rangle$

Def The Alexander matrix = $\partial \left[\frac{\partial}{\partial x_j} r_i \right]$ ← relation i
← generator j matrix in $M(\mathbb{Z} G_{ab})$

Ex $G = D_3 = \langle x_1, x_2 \mid x_1^2, x_2^3, x_1 x_2 x_1 x_2 \rangle$

$$\begin{cases} \frac{\partial}{\partial x_1} x_1^2 = \frac{\partial}{\partial x_1} x_1 \cdot \varepsilon x_1 + x_1 \frac{\partial}{\partial x_1} x_1 = 1 + x_1 \\ \frac{\partial}{\partial x_2} x_2^3 = 0 \\ \frac{\partial}{\partial x_1} x_2^3 = 0 \\ \frac{\partial}{\partial x_2} x_2^3 = \frac{\partial}{\partial x_2} x_2^2 \cdot \varepsilon x_2 + x_2^2 \frac{\partial}{\partial x_2} x_2 = (1 + x_2) \cdot 1 + x_2^2 \\ = 1 + x_2 + x_2^2 \\ \frac{\partial}{\partial x_1} (x_1 x_2 x_1 x_2) = 1 + x_1 x_2 \\ \frac{\partial}{\partial x_2} (x_1 x_2 x_1 x_2) = x_1 + x_1 x_2 x_1 \end{cases}$$

Alexander matrix: $\begin{bmatrix} 1+x_1 & 0 \\ 0 & 1+x_2+x_2^2 \\ 1+x_1 x_2 & x_1+x_1 x_2 x_1 \end{bmatrix}$ in $\mathbb{Z} G_{ab}$
 $x_1(1+x_1 x_2)$
 $x_1+x_1^2 x_2$

Note: $\frac{\partial}{\partial x_j} (g_1 \dots g_m) = \left(\frac{\partial}{\partial x_j} g_1 \right) g_2 \dots g_m + g_1 \left(\frac{\partial}{\partial x_j} g_2 \right) g_3 \dots g_m + \dots$
 $\frac{\partial}{\partial x_j} g^n = (1 + g + g^2 + \dots + g^{n-1}) \left(\frac{\partial}{\partial x_j} g \right)$

Def: If $A \in M_{n \times m}(R)$ the k^{th} elementary ideal of A

$$E_k(A) = \begin{cases} 0 & \text{if } (n-k) > m \\ 1 & \text{if } (n-k) \leq 0 \\ \left(\begin{array}{l} \text{the ideal generated} \\ \text{by determinants of} \\ (n-k) \times (n-k) \text{ minors} \end{array} \right) & \text{otherwise} \end{cases}$$

$\rightarrow 0 = E_0(A) \subseteq E_1(A) \subseteq \dots \subseteq E_n(A) = E_{n+1}(A) = R$

Lemmas: The sequence of elementary ideals is invariant under

- ① Permuting rows / columns
- ② Adding a zero row / ~~column~~
- ③ Adding a new row / col = linear comb. of rows / cols
- ④ Adding a new row + col of zero w/ intersection = 1.

(B)

Prop: Elementary ideals are invariant under group presentation equiv.

(3)

Last time:

Tietze $\langle X:R \rangle \xrightarrow{\text{I}} \langle X:R \cup S \rangle \exists E \in \langle\langle R \rangle\rangle$
 $\langle X:R \rangle \xrightarrow{\text{II}} \langle X \cup Y:R \cup W \rangle \omega \in F(X)$

Derivative: $D: \mathbb{Z}G \rightarrow \mathbb{Z}G$

$\epsilon: \mathbb{Z}G \rightarrow \mathbb{Z}$ augmentation

- $D(w_1 + w_2) = D(w_1) + D(w_2)$
- $D(w_1 w_2) = D(w_1) \epsilon(w_2) + w_1 D(w_2)$

$D(e) = 0$

$D(x^{-1}) = -x^{-1} D(x)$

$D(g^n) = (e + g + g^2 + \dots + g^{n-1}) D(g) = \frac{g^n - 1}{g - 1} D(g)$

$D(g_1 \dots g_n) = D(g_1) + g_1 D(g_2) + g_1 g_2 D(g_3) + \dots + g_1 \dots g_{n-1} D(g_n)$

$\frac{\partial}{\partial x_j}: \mathbb{Z}F \rightarrow \mathbb{Z}F$
 $x_i \mapsto \delta_{ij}$

$\mathbb{Z}F \xrightarrow{\frac{\partial}{\partial x_j}} \mathbb{Z}F \xrightarrow{\gamma} \mathbb{Z}G \xrightarrow{\alpha} \mathbb{Z}G_{ab}$

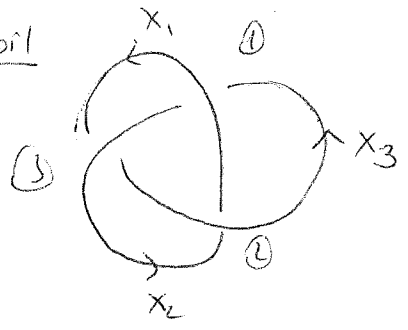
Alexander matrix: $|a_{ij}|$ where $a_{ij} = \alpha \gamma \left(\frac{\partial r_i}{\partial x_j} \right)$

gen of $E_k(A) = \Delta_k(K)$

$E_k(A) = \begin{cases} 0 & n-k > m \\ \mathbb{R} & n-k \leq 0 \\ (n-k) \times (n-k) \text{ minors of } A & \text{otherwise.} \end{cases}$

$\Delta_1(K) = \Delta(K)$

Trefoil



$r_1 = x_2^{-1} x_1 x_3 x_1^{-1}$

$r_2 = x_1^{-1} x_3 x_2 x_3^{-1}$

$r_3 = x_3^{-1} x_2 x_1 x_2^{-1} \Rightarrow x_3 = x_2 x_1 x_2^{-1}$

$\pi_1(\mathbb{R}^3 \setminus Z_1) = \langle x_1, x_2, x_3; r_1, r_3 \rangle = \langle x_1, x_2; x_2^{-1} x_1 x_2 x_1 x_2^{-1} x_1^{-1} \rangle$

$x_2^{-1} x_1 x_2 x_1 x_2^{-1} x_1^{-1} = 1 \Rightarrow x_1 x_2 x_1 = x_2 x_1 x_2$

$\mathbb{M} \mathbb{Z}G \Rightarrow x_1 x_2 x_1 - x_2 x_1 x_2 = 0$

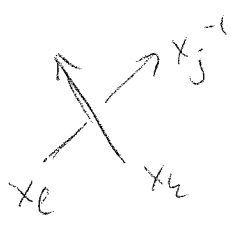
$\alpha(x_1), \alpha(x_2) = t$

$\frac{\partial}{\partial x_1} (x_1 x_2 x_1 - x_2 x_1 x_2) = 1 + x_1 x_2 - x_2 = t^2 - t + 1$

$\frac{\partial}{\partial x_2} (x_1 x_2 x_1 - x_2 x_1 x_2) = x_1 - 1 - x_2 x_1 = t - t^2 - 1 = -\frac{\partial}{\partial x_1} (r)$

$A = \begin{bmatrix} t^2 - t + 1 & 0 \end{bmatrix} \quad \hat{E}_k(A) = \begin{cases} 0 & k=0 \\ \langle t^2 - t + 1 \rangle & k=1 \\ \mathbb{Z}\langle t \rangle & k \geq 1 \end{cases}$

$\Rightarrow \Delta(\mathbb{Z}Z_1) = t^2 - t + 1$

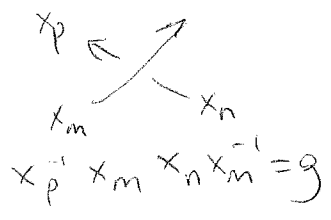


$$x_j^{-1} x_e^{-1} x_e x_e = f \quad -t^2$$

$$\frac{\partial f}{\partial x_j} = -x_j^{-1} = -t^{-1} \equiv t$$

$$\frac{\partial f}{\partial x_e} = -x_j^{-1} x_e^{-1} + x_j^{-1} x_e^{-1} x_e = -t^{-2} + t^{-1} \equiv 1-t$$

$$\frac{\partial f}{\partial x_e} = x_j^{-1} x_e^{-1} = t^{-2} \equiv -1$$

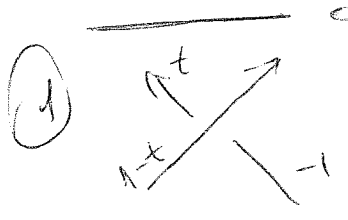


$$x_p^{-1} x_m x_n x_m^{-1} = g$$

$$\frac{\partial g}{\partial x_p} = -x_p^{-1} = -t^{-1} \equiv -1$$

$$\frac{\partial g}{\partial x_m} = x_p^{-1} - x_p^{-1} x_m x_n^{-1} x_m^{-1} = t^{-1} - 1 \equiv 1-t$$

$$\frac{\partial g}{\partial x_n} = x_p^{-1} x_m = 1 \equiv t$$



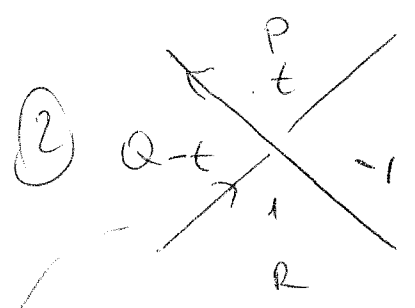
overcrossing $\rightarrow 1-t$
 left of over crossing $\rightarrow t$
 right $\rightarrow -1$

Construct a matrix whose rows are indexed by crossings and columns are indexed by arcs.
 delete one row and one column to get the Alex. polyn

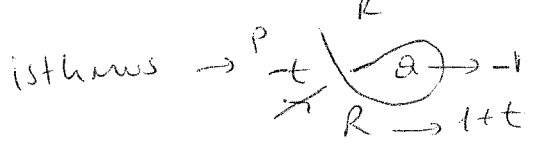


$$\begin{matrix} 1- & \begin{bmatrix} x_1 & x_2 & x_3 \\ 1-t & t & -1 \\ 2- & t & -1 & 1-t \\ 3- & -1 & 1-t & t \end{bmatrix} \end{matrix}$$

$$(1-t)(-1) - t^2 = t - 1 - t^2 \equiv t^2 - t + 1$$

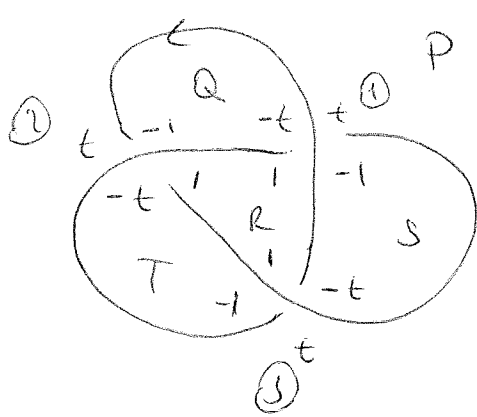


$$i \begin{bmatrix} 0 & \overset{P}{t} & 0 & \overset{Q}{-t} & 0 & \overset{R}{i} & 0 & \overset{S}{-1} \end{bmatrix}$$



Delete any 2 columns indexed by a region which have a common boundary.

Go through the over crossings before the crossing right -1 after the crossing right t left 1 left -t



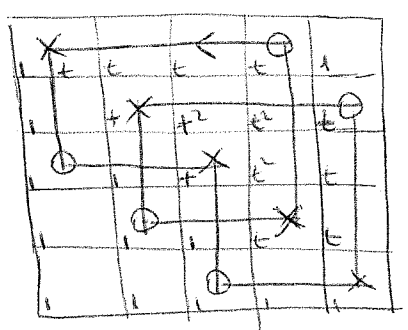
$$\begin{matrix} & P & Q & R & S & T \\ 1 & \begin{bmatrix} t & -t & 1 & -1 & 0 \\ t & -1 & 1 & 0 & -t \\ t & 0 & 1 & -t & -1 \end{bmatrix} \end{matrix}$$

$$\begin{vmatrix} 1 & -1 & 0 \\ 1 & 0 & -t \\ 1 & -t & -1 \end{vmatrix} = \begin{vmatrix} 1 & -1 & 0 \\ 0 & 1 & -t \\ 0 & 1-t & -1 \end{vmatrix} = \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} = 1 \cdot 1 = 1$$

$$= -1 - (-t)(1-t) = -1 + t - t^2 = t^2 - t + 1.$$

Grid Diagrams

Put exactly one X and one O in each row and column. Connect each X and O by straight lines, lying on the same row and column.



Vertical lines are always over crossing.

Orient the diagram. To write the matrix take the lower left corner of each square. Draw a ray in the west direction \leftarrow . Count the # of linkings.

Assign $a(i,j)$ where $a(i,j)$ is the linking #.

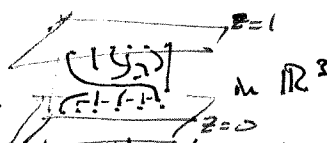
If $\tilde{\Delta}_k(t)$ is the determinant of the resulting matrix then $\Delta_k(t) = \frac{\tilde{\Delta}_k(t)}{(1-t)^{N-1}}$ where N is the size of the matrix.

$$\begin{vmatrix} 1 & t & t & t & 1 \\ 1 & t & t^2 & t^2 & t \\ 1 & 1 & t & t^2 & t \\ 1 & 1 & 1 & t & t \\ 1 & 1 & 1 & 1 & 1 \end{vmatrix} = \begin{vmatrix} 0 & t-1 & t-1 & t-1 & 0 \\ 0 & t-1 & t^2-1 & t^2-1 & t-1 \\ 0 & 0 & t-1 & t^2-1 & t-1 \\ 0 & 0 & 0 & t-1 & t-1 \\ 1 & 0 & 0 & 0 & 0 \end{vmatrix} = \begin{vmatrix} t-1 & t-1 & 0 \\ 0 & (t-1)(t) & t(t-1) \\ 0 & t-1 & t^2-1 & t-1 \\ 0 & 0 & t-1 & t-1 \end{vmatrix}$$

$$= -(t-1) \begin{vmatrix} (t-1)t & (t-1)^2 & 0 \\ t-1 & t(t-1) & 0 \\ 0 & t-1 & t-1 \end{vmatrix} = -(t-1)^2 (t^2(t-1)^2 - (t-1)^3) = -(t-1)^4 (t^2 - t + 1) = \Delta_k(t).$$

Braid Groups:

B_n = Isotopy classes of paths



- each path w/o critical points
- i.e. all horiz. planes intersect at exactly n points
- crossings have unique height??

Operations on B_n : $\bullet a \cdot b = \begin{bmatrix} a \\ b \end{bmatrix}$

\bullet inverse is mirror image $\begin{bmatrix} R \end{bmatrix}^{-1} = \begin{bmatrix} B \end{bmatrix}$

Generators: $\left\{ \begin{array}{c} i \quad i+1 \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ \hline \end{array} \right\}_{i=1}^{n-1} = \sigma_i$

→ A word in generators \iff paths w/ crossings on different levels.

Artin Relations: $\bullet \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ \hline \end{array} \sigma_i \cdot \sigma_i^{-1} = 1$ (Reidemeister 2)

$\bullet \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ \hline \end{array} \sim \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array}$ $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$
 $(\sigma_{i+1}^{-1} \sigma_i \sigma_{i+1} = \sigma_i \sigma_{i+1} \sigma_i^{-1})$

$\bullet \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ \hline \end{array} \sim \begin{array}{c} \diagdown \quad \diagup \\ \hline \end{array}$ $\sigma_i \sigma_j = \sigma_j \sigma_i$ if $|i-j| \geq 2$

Thm (Artin): $B_n = \langle \sigma_i \rangle / \text{Artin Relations}$

Examples: $B_1 = \{1\}$
 $B_2 = \mathbb{Z}$
 $B_3 = \langle \sigma_1, \sigma_2 \mid \sigma_1^{-1} \sigma_2 \sigma_1 = \sigma_2 \sigma_1 \sigma_2^{-1} \rangle$
Btw this is $\pi_1(\mathbb{R}^3 \setminus \text{trefoil})$

Connecting corresponding points top-bottom \implies Link "Closure"

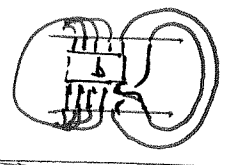
Thm (Alexander): Every link can be given by connecting corresp. points on braids.
("Closure map is surjective onto links")

Q: When do braids have isotopic closure?

Thm (Markov): Braids have isotopic closure iff related by sequence of the following moves:

• $b \leftrightarrow aba^{-1} \quad (a, b \in B_n)$

• $b \leftrightarrow b \cdot \sigma_n^{\pm 1} \quad (\sigma_n \in B_{n+1} \quad b \in B_n)$

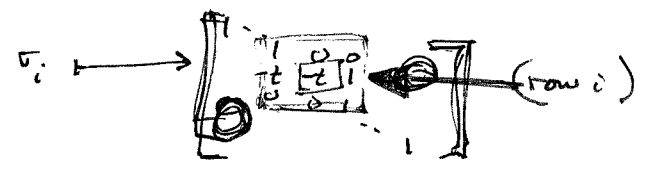


Reduced Burau Representation

$\phi: B_n \longrightarrow GL_{n-1}(\mathbb{Z}[t, t^{-1}])$

$\sigma_i \longmapsto \begin{bmatrix} -t & 1 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \dots & \dots & 1 \end{bmatrix}$

$\sigma_n \longmapsto \begin{bmatrix} 1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & t-t \end{bmatrix}$



Alexander polynomial of closure of braid.

Thm: $\det(I - \phi(B)) = (1 + t + \dots + t^{n-1}) \Delta_B$

Jones Polynomial from Braid Group

RECALL: B_n - braid group on n strands

→ generated by $\{\sigma_i\}$ ← crosses strands i & $(i+1)$

→ Artin relations $\cdot \sigma_i \sigma_j = \sigma_j \sigma_i$ if $|i-j| \geq 2$
 $\cdot \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$

Other Representations of B_n :

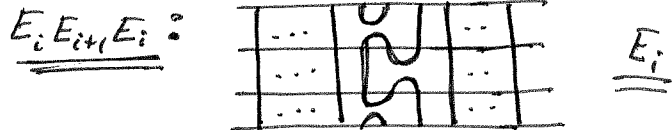
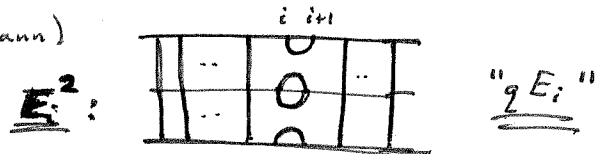
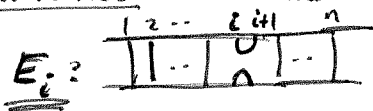
Temperley-Lieb Algebra

Def: Let $\tau \in \mathbb{C}$. The Temperley-Lieb Algebra $TL_n(\tau)$ is \mathbb{C} -algebra
 & generated by e_1, \dots, e_n w/

- ① $e_i^2 = e_i$
- ② $e_i e_j = e_j e_i$ for $|i-j| \geq 2$
- ③ $e_i e_{i+1} e_i = \tau e_i$

Goal: Find a representation of B_n in $TL_n(\tau)$

① → Diagrammatics for $TL_n(\tau)$ (Kauffman)



Let $e_i = \frac{1}{2} E_i$

• $e_i^2 = \frac{1}{4} E_i^2 = \frac{1}{4} 2E_i = \frac{1}{2} E_i = e_i$

• $e_i e_{i+1} e_i = \frac{1}{8} E_i E_{i+1} E_i$
 $= \frac{1}{4} \cdot \frac{1}{2} E_i$ ($\tau = \frac{1}{2}$)

② → $\psi: B_n \rightarrow TL_n(\tau)$ Representation.

$\sigma_i \mapsto ae_i + b \cdot 1$

$\sigma_i \sigma_j \mapsto (ae_i + b \cdot 1)(ae_j + b \cdot 1)$ if $|i-j| \geq 2$

$\sigma_i^{-1} \mapsto (ae_i + b \cdot 1)^{-1} = (ce_i + d)$

$(ae_i + b)(ce_i + d) = 1$

$ace_i^2 + bce_i + adc_i + bd = 1$

\uparrow
 e_i

$\left\{ \begin{array}{l} ac + bc + ad = 0 \\ bd = 1 \end{array} \right. \begin{array}{l} \leftarrow c = -\frac{ab^{-1}}{a+b} \\ \leftarrow d = b^{-1} \end{array}$

(→ No. $b \neq 0, a \neq -b$)

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More Ψ work:

$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$ & Ψ well defined??

$\Psi(\sigma_i \sigma_{i+1} \sigma_i) = (ae_i + b)(ae_{i+1} + b)(ae_i + b)$
 $= a^3 e_i e_{i+1} e_i + a^2 b (e_i e_{i+1} + e_{i+1} e_i + e_i^2) + 2ab^2 e_i + b^3$

$\Psi(\sigma_{i+1} \sigma_i \sigma_{i+1}) = (ae_{i+1} + b)(ae_i + b)(ae_{i+1} + b)$
 $= a^3 e_{i+1} e_i e_{i+1} + a^2 b (e_{i+1} e_i + e_i^2 + e_i e_{i+1}) + ab^2 (2e_{i+1} + e_i) + b^3$

$\Delta (a^3 \tau + a^2 b + ab^2) e_i$

||

$\Delta (a^3 \tau + a^2 b + ab^2) e_{i+1}$

These must = 0

$a^3 \tau + a^2 b + ab^2 = 0$



$a^2 (a \tau + ab + b^2) = 0$
 $a \neq 0$ $\tau = \frac{-ab - b^2}{a^2}$

Cyclic equivalence on words:

Temperley-Lieb: $e_i^2 \equiv e_i$

$e_i e_j \equiv e_j e_i \quad (|i-j| \geq 2)$

$e_i e_{i+1} e_i \equiv \tau e_i$

} $\hat{=}$ identities generated by these

+ cyclic permutations:

$e_{i_1} \dots e_{i_j} e_{i_{j+1}} \dots e_{i_n} \equiv e_{i_{j+1}} \dots e_{i_n} e_{i_1} \dots e_{i_j}$

Goal: Trace on cyclic equivalence class is constant

(trace should satisfy: (1) Linearity

(2) $tr(ab) = tr(ba)$

$tr: TL(\tau) \rightarrow \dots$

What is tr ?? What are equivalence classes above??

EX:

$e_i \equiv e_j$

b/c

$e_i e_{i+1} e_i \equiv \tau e_i$

|||

$e_i^2 e_{i+1}$

|||

$e_i e_{i+1}$

|||

$e_i e_{i+1}^2$

|||

$e_{i+1} e_i e_{i+1} \equiv \tau e_{i+1}$

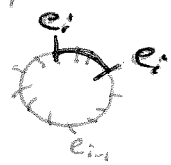
Lemma: Every word is cyclically equivalent to a word w/ no repeated letters.

(Furthermore, all minimal length words have this property)

Proof:

Suppose w has minimal length in its class ~~and~~ has repeated letters. Let e_i be repeated in w w/ minimal i .

~~Pick two "closest" e_i in cyclic word.~~



$\Rightarrow e_{i-1}$ not repeated. Pick two e_i and arc between containing no e_i or e_{i-1}



\Rightarrow Must be two e_{i+1} between them

(to avoid $e_i e_{i+1} e_i \equiv \tau e_{i+1}$)

\Rightarrow Must be two e_{i+2} between them

etc.

~~*~~

Lemma: Every word is cyclically equivalent to a word w/ letters increasing index.

Proof:

- lowest letter commutes to next lowest
- group commutes to next lowest
- etc.

Lemma: $e_{i_1} \dots e_{i_k} \equiv \tau^a e_1 e_2 \dots e_k$ ($i_1 < i_2 < \dots < i_k$)

Will have $s_{ta} = k$.

Proof:

Claim 1 $e_{i_1} \dots e_{i_2} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k} \equiv e_{i_1} \dots e_{i_2} e_{i_{2+1}-1} e_{i_{2+2}} e_{i_{2+2}} \dots e_{i_k} \frac{1}{\tau}$

↑ ↑
gap of ≥ 1

↑
add in left neighbor.

(EX $e_1 e_3 e_5 \equiv \frac{1}{\tau} e_1 e_2 e_3 e_5$)

$e_{i_1} \dots e_{i_2} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k}$

move around $\left(\frac{1}{\tau} e_{i_{2+1}} e_{i_{2+1}-1} e_{i_{2+1}} \right)$

$\frac{1}{\tau} e_{i_1} \dots e_{i_2} e_{i_{2+1}-1} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k}$

$\frac{1}{\tau} e_{i_1} \dots e_{i_2} e_{i_{2+1}-1} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k}$

Claim 2 $e_{i_1} \dots e_{i_2} e_{i_{2+1}} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k} \equiv e_{i_1} \dots e_{i_2} e_{i_{2+1}-1} e_{i_{2+1}} e_{i_{2+2}} \dots e_{i_k}$

↑ ↑
gap of ≥ 1

was:
 $e_{i_{2+1}} e_{i_{2+1}+1}$

$e_{i_2} e_{i_{2+1}} e_{i_{2+1}} e_{i_{2+2}}$

move around $\left(\frac{1}{\tau} e_{i_{2+1}} e_{i_{2+1}-1} e_{i_{2+1}} \right)$

$\frac{1}{\tau} e_{i_{2+1}-1} e_{i_{2+1}} e_{i_{2+1}+1} e_{i_{2+2}} \dots$

$\tau e_{i_{2+1}}$

Recall: Jones Polynomial

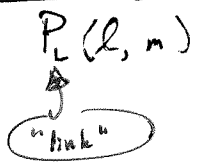
$$B_n \longrightarrow TL_n$$

$$\sigma_i \longmapsto (1+t)e_i - 1$$

- $\text{tr}(\sigma_i) = \text{tr}((1+t)e_i - 1)$
- $\text{tr}(e_i) = z = \frac{t}{(1+t)^2}$
- $\text{tr}(\alpha \sigma_n) = \frac{-1}{1+t} \text{tr}(\alpha) \quad \alpha \in B_n \quad \text{if } \sigma_n \in B_{n+1}$

and $V_2(t) = \left(\frac{-t+1}{\sqrt{t}} \right)^{n-1} (\sqrt{t})^{e(\alpha)} \text{tr}(\alpha) \quad (e(\alpha) = \text{writhe of } \alpha)$

Now, Homfly:



- polynomial defined on links w/
- $P_0(l, m) = 1$
- $P_{L \cup \bigcirc}(l, m) = P_L \left(\frac{m-1}{m} l + l^{-1} \right)$??

Hecke Algebra: $H_n(l, m)$ "n strings" $l \in \mathbb{C}$

generators: g_1, \dots, g_{n-1}

relations:

- $g_i g_j = g_j g_i \quad |i-j| \geq 2$
- $g_i g_{i+1} g_i = g_{i+1} g_i g_{i+1}$
- $l g_i + l^{-1} g_i^{-1} = m$

$\mathbb{C} B_n$
 $l g_i + l^{-1} g_i^{-1} = m$

(Note: algebra is generated by braids) \otimes

Skew relation:

$$l P_{L_+}(l, m) + l^{-1} P_{L_-}(l, m) = m P_{L_0}(l, m)$$



• Homfly w/ $l = it^{-1}$ & $m = i(t^{1/2} - t^{-1/2})$ \rightsquigarrow Jones

To compute Homfly, use trace function from Hecke alg:

$\tau: H_n \longrightarrow \mathbb{C}$ w/

- (1) $\tau(a+b) = \tau(a) + \tau(b)$
- (2) $\tau(ab) = \tau(ba)$
- (3) $\tau(1) = 1$
- (4) $\tau(w g_n) = (m^{-1} (l+t^{-1}))^{-1} \tau(w)$

all $w \in H_n \subset H_{n+1}$

Compute: $\tau(g_i) = \tau(1g_i) = (m^{-1}(l+l^{-1}))^{-1} \tau(1)^1$

$$\tau(g_i^{-1}) = \tau(l(m-lg_i))$$

$$= lm - l^2 \tau(g_i)$$

$$= lm - l^2 \frac{m}{l+l^{-1}} = (m^{-1}(l+l^{-1}))^{-1} = \tau(g_i) \quad (!!)$$

FACT:

$$P_L(l, m) = (m^{-1}(l+l^{-1}))^{n-1} \tau(\phi(B))$$

where $\phi: B_n \rightarrow H_n(l, m)$

$$\sigma_i \mapsto g_i$$

EX $\sigma_1^3 \in B_2$ (tree fo:1)

$$P_L(l, m) = (m^{-1}(l+l^{-1}))^{2-1} \tau(\phi(\sigma_1^3))$$

$$= \frac{(m^{-1}(l+l^{-1}))}{z} (l^{-2} m^2 z^{-1} - l^{-3} m - l^2 z^{-1})$$

$$= l^{-2} m^2 - l^{-2} - l^{-3}(l+l^{-1})$$

$$g_i^2 = l^4 (m g_i l^{-1})$$

$$= l^{-1} m g_i - l^{-2}$$

$$g_i^3 = l^{-1} m g_i^2 - l^{-2} g_i$$

$$= l^2 m^2 g_i - l^{-3} m - l^{-2} g_i$$

Kaufman's L-polynomial:

$$\circ \hat{K}_X(l, m) + \hat{K}_Y(l, m) = m (\hat{K}_X(l, m) + \hat{K}_Y(l, m))$$

$$\circ \hat{K}_Y(l, m) = l \hat{K}_U(l, m) \quad \circ \hat{K}_Y(l, m) = l^{-1} \hat{K}_U(l, m)$$

$$\circ \hat{K}_O(l, m) = 1$$

→ Invariant under ~~reg~~ regular isotopy.

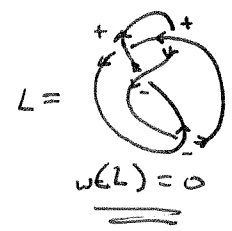
$$K_L(l, m) = l^{-w(L)} \hat{K}_{|L|}^{\text{unoriented link}}(l, m)$$

→ Invariant under isotopy

"Kaufman Polynomial"

(Jones Polynomial is recovered by using special $l \neq m$)

25) EX Figure 8 knot:



abuse notation $K_L \rightarrow K(L)$

So $K(L) = \hat{K}(L)$

EXERCISE: $\hat{K}(\text{circle with two crossings}) + \hat{K}(\text{circle with two crossings}) = m(\hat{K}(\text{circle with two crossings}) + \hat{K}(\text{circle with two crossings}))$
 etc

Sol ANSWER: $= -l^{-2} - lm - (l+l^{-1}) + m + m^2(l+l^{-1}) + ml - l(l+l^{-1}) + lm + lm^2(l+l^{-1})$



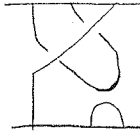
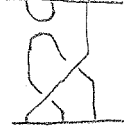
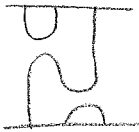
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① $G_i G_j = G_j G_i$ if $|i-j| \geq 2$

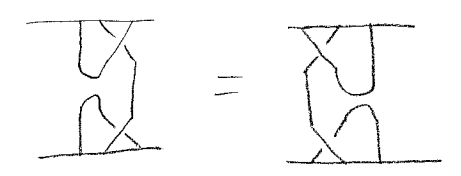
② $G_i G_{i+1} G_i = G_{i+1} G_i G_{i+1}$

③ $G_i + G_i^{-1} = m(1 + E_i)$  +  = m  + m 

④ $E_i E_{i+1} E_i = E_i$

⑤ $G_{i+1} G_i E_{i+1} = E_i G_{i+1} G_i = E_i E_{i+1}$  =  = 

⑥ $G_{i+1} E_i G_{i+1} = G_i^{-1} E_{i+1} G_i^{-1}$



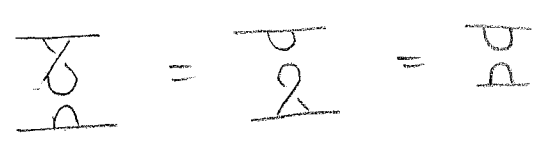
⑦ $G_{i+1} E_i E_{i+1} = G_i^{-1} E_{i+1}$



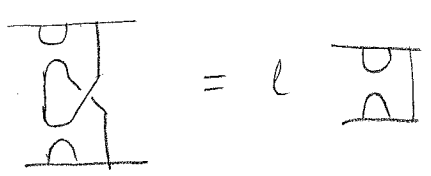
⑧ $E_{i+1} E_i G_{i+1} = E_{i+1} G_i^{-1}$



⑨ $G_i E_i = E_i G_i = l^{-1} E_i$



⑩ $E_i G_{i+1} E_i = l E_i$



* Using ③ $E_i = m^{-1}(G_i + G_i^{-1}) - 1$ and ①

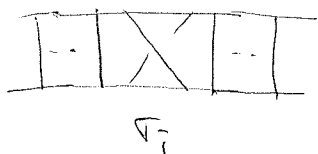
$E_i E_j = E_j E_i$ if $|i-j| \geq 2$

* Using ③ ⑨ $E_i^2 = (m^{-1}(G_i + G_i^{-1}) - 1) E_i = m^{-1}(G_i E_i + G_i^{-1} E_i) - E_i = (m^{-1}(l^{-1} + l) - 1) E_i$

* Using ③ ⑨ $G_i^2 = (m(1 + E_i) - G_i^{-1}) G_i = m(G_i + E_i G_i) - 1 = m(G_i + l^{-1} E_i) - 1$

"What a classical r-matrix really is"

Artin relations for the braid group:



$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

Find representations of B_n on tensor products of a single vector space V .

Idea: On $V \otimes V \otimes \dots \otimes V$

σ_i acts on $V \otimes V$ (i) (i+1)

$$\sigma_i \mapsto \text{End}(V \otimes V_{(i) (i+1)})$$

Simplest case: Send all σ_i 's to the same endomorphism S of $V \otimes V$.

Need notation:

S^{ij} denotes $S : V \otimes V \rightarrow V \otimes V$
(i) (j) (i) (j)

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \iff S^{i(i+1)} S^{(i+1)(i+2)} S^{i(i+1)} = S^{(i+1)(i+2)} S^{i(i+1)} S^{(i+1)(i+2)}$$

as elts of $\text{End}(V \otimes V \otimes V)$

$$\boxed{S^{12} S^{23} S^{12} = S^{23} S^{12} S^{23}}$$

Ex: ① $S = \text{id}_{V \otimes V}$

② $S = P$ (switch operator)

$$P : V \otimes V \rightarrow V \otimes V$$

$$v_1 \otimes v_2 \mapsto v_2 \otimes v_1$$

$$P^{12} P^{23} P^{12} (v_1 \otimes v_2 \otimes v_3) = P^{12} P^{23} (v_2 \otimes v_1 \otimes v_3) = P^{12} (v_2 \otimes v_3 \otimes v_1) = v_3 \otimes v_2 \otimes v_1$$

$$P^{23} P^{12} P^{23} (v_1 \otimes v_2 \otimes v_3) = P^{23} P^{12} (v_1 \otimes v_3 \otimes v_2) = P^{23} (v_3 \otimes v_1 \otimes v_2) = v_3 \otimes v_2 \otimes v_1$$

Group theoretically,

$$P^2 = \text{id}.$$

$$B_n \rightarrow S_n.$$

$$\sigma_i \mapsto (i \ i+1) \\ \downarrow \text{transposition.}$$

S_n acts on $V \otimes \dots \otimes V$ by permutations.

Composition is the reps above.

Can we perturb P in a Taylor series and find new S -matrices as above?

Instead of perturbing P , perturb \mathbb{I}

$$\boxed{S = PR} \quad (\Leftrightarrow \boxed{PS = R}).$$

$$S^{12} S^{23} S^{12} = S^{23} S^{12} S^{23}$$

$$\Leftrightarrow P^{12} R^{12} P^{23} R^{23} P^{12} R^{12} = P^{23} R^{23} P^{12} R^{12} P^{23} R^{23}$$

Lemma: A any endomorphism

$$(1) A^{12} P^{23} = P^{23} A^{13}$$

$$(2) A^{13} P^{23} = P^{23} A^{12}$$

$$(3) A^{23} P^{12} = P^{12} A^{13}$$

$$(4) A^{13} P^{12} = P^{12} A^{23}$$

$$\text{Pf: } (1) A^{12} P^{23} (v_1 \otimes v_2 \otimes v_3) = A^{12} (v_1 \otimes v_3 \otimes v_2) = A(v_1 \otimes v_3) \otimes v_2.$$

$$P^{23} A^{13} (v_1 \otimes v_2 \otimes v_3) = P^{23} (A(v_1 \otimes v_3))_{13} \otimes (v_2)_2 = A(v_1 \otimes v_3) \otimes v_2.$$

(2), (3), (4) are similar.

$$P^{12} \underbrace{R^{12} P^{23}}_R \underbrace{R^{23} P^{12}}_R R^{12} = P^{12} P^{23} \underbrace{R^{13} P^{12}}_R R^{13} R^{12} \in \underbrace{P^{12} P^{23} P^{12}}_P \underbrace{R^{13} P^{12}}_R P^{12}$$

$$= P^{12} P^{23} P^{12} R^{23} R^{13} R^{12}$$

$$P^{23} \underbrace{R^{23} P^{12}}_R \underbrace{R^{12} P^{23}}_R R^{23} = P^{23} P^{12} \underbrace{R^{13} P^{23}}_R R^{13} R^{23} = P^{23} P^{12} P^{23} R^{12} R^{13} R^{23}$$

$$\text{Since } P^{12} P^{23} P^{12} = P^{23} P^{12} P^{23}$$

$$\Rightarrow \boxed{R^{23} R^{13} R^{12} = R^{12} R^{13} R^{23}}$$

← Quantum Yang-Baxter Eqn.

(QYBE).

solutions: R -matrices.

Perturbations of R , and classical r -matrices

Suppose $R = I + \hbar r + \hbar^2 p + \mathcal{O}(\hbar^3)$.

$R \in \text{End}(V \otimes V)$ so are r and p .

$$R^{12} R^{13} R^{23} = (I + \hbar r^{12} + \hbar^2 p^{12})(I + \hbar r^{13} + \hbar^2 p^{13})(I + \hbar r^{23} + \hbar^2 p^{23}) + \mathcal{O}(\hbar^3)$$

$$= I + \hbar(r^{12} + r^{13} + r^{23}) + \hbar^2(p^{12} + p^{13} + p^{23} + r^{12}r^{13} + r^{12}r^{23} + r^{13}r^{23}) + \mathcal{O}(\hbar^3)$$

$$R^{23} R^{13} R^{12} = I + \hbar(r^{23} + r^{13} + r^{12}) + \hbar^2(p^{12} + p^{13} + p^{23} + r^{23}r^{13} + r^{23}r^{12} + r^{13}r^{12}) + \mathcal{O}(\hbar^3)$$

$$r^{12}r^{13} + r^{12}r^{23} + r^{13}r^{23} = r^{23}r^{13} + r^{23}r^{12} + r^{13}r^{12}$$

Classical Yang-Baxter Eqn (CYBE) Solutions: classical r -mat

CYBE can be rewritten as:

$$C(r) = [r^{12}, r^{13}] + [r^{12}, r^{23}] + [r^{13}, r^{23}] = 0.$$

Only commutators are involved.

This eqn. holds in $\text{End}(V \otimes V \otimes V) = \text{End}(V) \otimes \text{End}(V) \otimes \text{End}(V)$
 $\mathfrak{g} = \mathfrak{gl}(V)$.

Generalize to any Lie al. \mathfrak{g} . Then $r^{ij} \in \mathfrak{g} \otimes \mathfrak{g}$

CYBE is an eqn. in $\mathfrak{g} \otimes \mathfrak{g} \otimes \mathfrak{g}$.

Note: Suppose $r = \sum a_i \otimes b_i \in \mathfrak{g} \otimes \mathfrak{g}$.

$$[r^{12}, r^{13}] = \left[\sum_i a_i \otimes b_i \otimes 1, \sum_j a_j \otimes 1 \otimes b_j \right] = \sum_{i,j} [a_i, a_j] \otimes b_i \otimes b_j$$

$$(a_i \otimes b_i \otimes 1)(a_j \otimes 1 \otimes b_j) - (a_j \otimes 1 \otimes b_j)(a_i \otimes b_i \otimes 1)$$

$$= a_i a_j \otimes b_i \otimes b_j - a_j a_i \otimes b_i \otimes b_j = [a_i, a_j] \otimes b_i \otimes b_j$$

$$[r^{12}, r^{23}] = \sum_{i,j} a_i \otimes [b_i, a_j] \otimes b_j$$

$$[r^{13}, r^{23}] = \sum_{i,j} a_i \otimes a_j \otimes [b_i, b_j].$$

$$\text{CYBE} \Leftrightarrow \sum_{i,j} \left([a_i, a_j] \otimes b_i \otimes b_j + a_i \otimes [b_i, a_j] \otimes b_j + a_i \otimes a_j \otimes [b_i, b_j] \right) =$$

Recall: Braid group B_n generated by $\frac{\text{II} \times \text{II}}{\sigma_i}$

w/ relation $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$

• Closing braids gives links/knots

→ braids related by standard basic moves gives same knots/links.

• Look at particular representations of B_n on $V^{\otimes n}$
R.v.s.

• Basic idea: $\sigma_i \mapsto S \in \text{End}(V_{(i)} \otimes V_{(i+1)})$ for some fixed matrix S .

→ Braid relation \leadsto relation on $\text{End}(V_{(i)} \otimes V_{(i+1)} \otimes V_{(i+2)})$

$$S^{12} S^{23} S^{12} = S^{23} S^{12} S^{23}$$

→ R-matrices

Let P be flip operator $P(v_1 \otimes v_2) = v_2 \otimes v_1$

- P satisfies Artin relations, but its too simple
(it sees S_n , not B_n)

Let $S = PR$

- Artin relations for S become

$$\boxed{R^{12} R^{13} R^{23} = R^{23} R^{13} R^{12}}$$

for R

Quantum Yang-Baxter Eqn

Let $R = I + \epsilon r + \epsilon^2 \rho + \epsilon^3 (\dots)$

- Yields "classical" Yang-Baxter Eqn for r

Yang-Baxter Eqn \rightarrow $\boxed{[r^{12}, r^{13}] + [r^{12}, r^{23}] + [r^{13}, r^{23}] = 0}$

New Tool: @ Poisson-Lie Groups

(ref: Chari-Pressley: "Quantum Groups")

→ Motivation: Let (M, ω) be a symplectic mfd \Leftrightarrow

$$\left(\begin{array}{l} \omega \text{ is a 2-form} \\ d\omega = 0 \\ \omega \text{ nondegenerate} \end{array} \right)$$

|| Given smooth function $H \in C^\infty(M)$ \leadsto vector field X_H by
 $\omega(X_H, \cdot) = dH$

Poisson manifold:

" $\circ (M, \omega)$ where ω is a bivector field
(section of $\Lambda^2 TM \rightarrow$ linear dual of 2-forms)"

Def: Let M be C^∞ manifold w/ $\dim(M) = m$

Poisson structure on M is bilinear map

$$\{, \}_M : C^\infty(M) \times C^\infty(M) \rightarrow C^\infty(M) \quad w/$$

(skew-symm) ① $\{f, g\} = -\{g, f\}$

(Leibniz) ② $\{f, gh\} = f \cdot \{g, h\} + g \{f, h\}$

(Jacobi) ③ $\{f, \{g, h\}\} + \{g, \{h, f\}\} + \{h, \{f, g\}\} = 0$

Remarks: ① $\{, \}$ is a Lie-bracket on $C^\infty(M)$

② $\forall f, X_f: g \mapsto \{g, f\}$ is a derivation

→ Define the Hamiltonian vector field for f
to be $X_f = \{ \circ, f \}$

③ $\{f, g\}$ only depends on df & dg

→ $\{f, g\} = \omega(df, dg)$ bivector field defined using df & dg .

EX On \mathbb{R}^{2n} w/ coords $\{x_1, \dots, x_n, y_1, \dots, y_n\}$

use $\{x_i, y_j\} = \delta_{ij} = -\{y_j, x_i\}$

$$\{x_i, x_j\} = 0 = \{y_i, y_j\}$$

→ $X_{x_i y_j} = \frac{\partial}{\partial x_j} - \frac{\partial}{\partial y_i}$ (by checking values on x_i & y_i)

Quasi-triangular Hopf Algebras

Rough idea:

$$\begin{aligned} \text{Comm. Hopf Alg} &\leftrightarrow F(G) \text{ for some } G \\ \text{coComm. Hopf Alg} &\leftrightarrow U(\mathfrak{g}) \text{ for some } \mathfrak{g} \end{aligned}$$

→ Need almost cocomm. Hopf algebras

Def: A Hopf alg A/k (c comm. alg) is almost cocomm. if

$$\tau \circ \Delta(a) = R \cdot \Delta(a) \cdot R^{-1}$$

for $R \in A \otimes A$.

Remark: Comm. $\hat{=}$ almost cocomm $\Rightarrow R \cdot \Delta(a) \cdot R^{-1} = R \cdot R^{-1} \cdot \Delta(a) = \Delta(a)$
 \Rightarrow cocomm.

Let C be centralizer of $\Delta(A)$ in $A \otimes A$

(Note: $Z = \text{center}(A)$, $Z \otimes Z \subset C$)

Prop: Let $\phi: A \otimes A \rightarrow A$ by

$$a_1 \otimes a_2 \mapsto a_1 \cdot S(a_2)$$

Then $\phi(C) \subseteq Z$

(S is antipode map in Hopf algebra)

"Want to connect R and S^{-1} almost cocomm antipode"

like $a, a a^{-1}$ (conjugation)

Proof:

Given almost cocomm Hopf alg A .
 $(a_1 \otimes a_2) * a = a_1 \cdot a \cdot S(a_2)$
 is $A \otimes A$ -module structure on A .

Note $\phi(x) = x * 1$.

Claim: $\Delta(a) * 1 = \eta(a) \cdot 1$ (omit in Hopf alg)

Claim: $\Delta(a) * \phi(c) = (\Delta(a) \cdot c) * 1$
 $= (c \cdot \Delta(a)) * 1$ if $c \in Z \otimes Z$ $c \in C$
 $= c * \Delta a * 1$
 $= c * \eta(a) \cdot 1$

Define $\Psi: A \otimes A \otimes A \rightarrow A$ by

$$a_1 \otimes a_2 \otimes a_3 \mapsto a_1 \cdot \phi(c) \cdot S(a_2) \cdot a_3 = \left[(a_1 \otimes a_2) * \phi(c) \right] \cdot a_3$$

Note: $\Psi((\Delta \otimes id) \circ \Delta) = \Psi((id \otimes \Delta) \circ \Delta)$ (coassociativity)

$$\Psi(\Delta(\Delta_1) \otimes \Delta_2) = \Psi(\Delta_1 \otimes \Delta(\Delta_2))$$

$$\begin{array}{ccc} \Delta(\Delta_1) * \phi(c) \Delta_2 & \Delta_1 \cdot \phi(c) \cdot S(\Delta_1 \Delta_2) \cdot \Delta_2 \Delta_2 \\ \parallel & \parallel \\ \phi(c) \cdot (\eta \otimes id) \Delta & \Delta_1 \cdot \phi(c) \cdot \eta(\Delta_2) \\ \parallel & \parallel \\ \underline{\phi(c) \cdot id} & (id \otimes \eta) \circ \Delta \cdot \phi(c) = \underline{id \cdot \phi(c)} \end{array}$$

So $\phi(c) \in Z$. □

Let A be almost cocomm. Hopf alg.

$$(\tau \circ \Delta)(a) = R \cdot \Delta(a) \cdot R^{-1} \quad (R = R_1 \otimes R_2)$$

$$\Rightarrow \Delta_2 \otimes \Delta_1 = R_1 \Delta_1 R_1^{-1} \otimes R_2 \Delta_2 R_2^{-1}$$

$$\text{So } \Delta_1 = R_2 \Delta_2 R_2^{-1} = R_2 \cdot R_1 \Delta_1 (R_2 \cdot R_1)^{-1}$$

$$\Delta_2 = R_1 \Delta_1 R_1^{-1} = R_1 \cdot R_2 \Delta_2 (R_1 R_2)^{-1}$$

$$\Rightarrow R_2 R_1 \otimes R_1 R_2 \text{ commutes w/ } \Delta(a) \text{ all } a$$

$$\Rightarrow \phi(R_2 R_1 \otimes R_1 R_2) \in \text{center}(A)$$

Relation between R and S :

$$\left(\begin{array}{l} R_{21} = \tau(R) \\ \Rightarrow R_{21} = R_2 \otimes R_1 \end{array} \right)$$

Prop: Let $u = S(R_2) \otimes R_1 \in A$.

then ① u is invertible in A

$$\text{② } S^2(a) = u a u^{-1}$$

Proof:

① Show $u a = S^2(a) u$

$$\text{almost cocomm} \Rightarrow \Delta_2 \otimes \Delta_1 = R_1 \Delta_1 R_1^{-1} \otimes R_2 \Delta_2 R_2^{-1}$$

$$\text{i.e. } (\Delta_2 R_1) \otimes (\Delta_1 R_2) = (R_1 \Delta_1) \otimes (R_2 \Delta_2)$$

(Neglect ε)

$$(R_1 \Delta_1 \otimes R_2 \Delta_2) \Delta_1 \otimes \Delta_2 = (\Delta_2 R_1 \otimes \Delta_1 R_2) \Delta_1 \otimes \Delta_2$$

$$\parallel$$

$$(R_1 \Delta_1 \Delta_1) \otimes (R_2 \Delta_2 \Delta_2) \otimes \Delta_2 \quad \Delta_2 \overleftarrow{R_1 \Delta_1} \otimes \Delta_1 \overleftarrow{R_2 \Delta_2} \otimes \Delta_2$$

$$\parallel$$

$$(\cancel{R_1 \Delta_1} \otimes \cancel{R_2 \Delta_2} \otimes \text{id}) \otimes \Delta_2 \quad (\cancel{\Delta_2 R_1} \otimes \cancel{\Delta_1 R_2} \otimes \Delta_2)$$

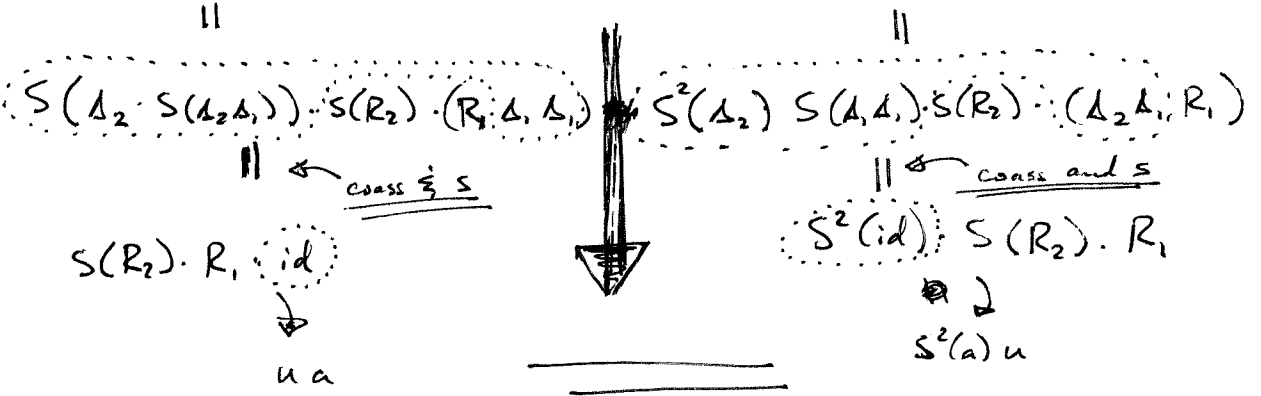
Apply id ⊗ S ⊗ S²

~~R₁ Δ₁ Δ₁ ⊗ R₂ Δ₂ Δ₂ Δ₂~~

$$(R_1 \Delta_1 \Delta_1) \otimes (S(R_2 \Delta_2 \Delta_2)) \otimes (S^2 \Delta_2) = (\Delta_2 \overleftarrow{R_1 \Delta_1}) \otimes (S(\Delta_1 \overleftarrow{R_2 \Delta_2})) \otimes (S^2(\Delta_2))$$

multiply in reverse order

$$S^2(\Delta_2) \cdot S(R_2 \Delta_2 \Delta_2) \cdot (R_1 \Delta_1 \Delta_1) = S^2(\Delta_2) \cdot S(\Delta_1 \overleftarrow{R_2 \Delta_2}) \cdot (\Delta_2 \overleftarrow{R_1 \Delta_1})$$



② Show u is invertible.

(inverse of R gives inverse of u)

claim: $v = u (S^{-1} \otimes \text{id}) (R_2^{-1})$ is the inverse of u.

$$\left(" v = S^{-1} R_2^{-1} \otimes R_1^{-1} " \right)$$

$$(S^{-1} R_2^{-1} \otimes R_1^{-1}) \cdot (S R_2 \otimes R_1) = | \otimes |$$

only hard if you do not neglect Σ.

